

## Foreign Assistance Data Reporting Guide for ForeignAssistance.gov Users



*This guide is intended to provide users with an overview of the foreign assistance data published to ForeignAssistance.gov for the United States Department of State (DOS). This guide is updated on an annual basis, with the last update occurring in January 2021. Any limitations to the agency-reported data are noted in Section 4 of this document.*

### 1. Summary of the DOS' Foreign Assistance Portfolio

The Department of State (DOS) provides foreign assistance across a wide range of sectors, countries, and implementing partners to promote peace and stability in areas of vital interest to America, help developing nations establish stable economic environments, and strengthen health system outcomes. The Department funds activities to support these foreign assistance goals through the bilateral and multilateral foreign assistance accounts listed below.

In line with the reporting mandates of [OMB Bulletin 12-01](#) and the [Foreign Aid Transparency and Accountability Act of 2016](#) (FATAA), the Department of State is mandated to publicly report its foreign assistance data every fiscal quarter, and that the data is reported from at least FY 2015 onward. Where data is marked as "Partially Reported," these constitute fiscal years where quarterly datasets are still in the process of being translated, redacted, reported, and published.

In some cases, the Department has published foreign assistance data preceding these mandates.

TREASURY ACCOUNT CODE	TREASURY ACCOUNT TITLE	FULLY REPORTED	PARTIALLY REPORTED	NOT YET REPORTED
1154	Andean Counterdrug Programs, State	FY 2012 FY 2013 FY 2014 FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
0306	Assistance for Europe, Eurasia, and Central Asia, Funds Appropriated to the President, Agency for International Development	FY 2012 FY 2013 FY 2014 FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021

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TREASURY ACCOUNT CODE	TREASURY ACCOUNT TITLE	FULLY REPORTED	PARTIALLY REPORTED	NOT REPORTED
1015	Complex Crises Fund, Funds Appropriated to the President, U.S. Agency for International Development	FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
1124	Contributions for International Peacekeeping Activities, State	FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1126	Contributions to International Organizations, State	FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1121	Democracy Fund, State	<i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
1021	Development Assistance, Agency for International Development, Funds Appropriated to the President	<i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
1037	Economic Support Fund, Funds Appropriated to the President	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
1082	Foreign Military Financing Program, Funds Appropriated to the President	<i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1031	Global Health and Child Survival, State	FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021

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TREASURY ACCOUNT CODE	TREASURY ACCOUNT TITLE	REPORTED	PARTIALLY REPORTED	NOT REPORTED
1031	Global Health Programs, State	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
1030	Global HIV/AIDS Initiative	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1081	International Military Education and Training, Funds Appropriated to the President	<i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1022	International Narcotics Control and Law Enforcement, International Security Assistance, State	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
1005	International Programs and Operations, Funds Appropriated to the President, State	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1143	Migration and Refugee Assistance, State	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021

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TREASURY ACCOUNT CODE	TREASURY ACCOUNT TITLE	REPORTED	PARTIALLY REPORTED	NOT REPORTED
1071	Nonproliferation and Disarmament Fund, Funds Appropriated to the President	FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2015 FY 2021
1075	Nonproliferation, Anti-Terrorism, Demining and Related Programs, International Security Assistance, State	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1083	Pakistan Counterinsurgency Capability Fund, Funds Appropriated to the President	<i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021
1032	Peacekeeping Operations, Funds Appropriated to the President	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018	FY 2019 FY 2020	FY 2021
0040	United States Emergency Refugee and Migration Assistance Fund, Funds Appropriated to the President	<i>FY 2012</i> <i>FY 2013</i> <i>FY 2014</i> FY 2015 FY 2016 FY 2017 FY 2018 FY 2019	FY 2020	FY 2021

## 2. Data Source

The main source of the Department of State's financial transaction data is the Global Financial Management System (GFMS). Global Business Intelligence (Global BI), the data-consolidation and analytical platform, provides the mechanism to extract this data and aims to improve the Department's ability to make sound business decisions based

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on accurate and timely financial information. Global BI consolidates information from numerous Department of State management systems, such as the Global Financial Management System (GFMS) and the Regional Financial Management System (RFMS), with data being extracted from the procurement/acquisition management systems and the grants/federal assistance management systems, known as the Integrated Logistics Management System Records (ILMS). ILMS includes data from Ariba, the State Assistance Management System (SAMS) Domestic and SAMS Overseas systems. While Global BI uses information from these systems, the detail provided varies based on existing business processes, guidance, and system constraints.

For the Department's annual planned budget data, FACTS Info is the source for the Planned Data Set, which lists the Department's annual budget requests (as part of the President's Budget Request) and appropriations. Appropriation figures in the planned data set for the Department of State and USAID are based on 653(a)<sup>1</sup> figures for that fiscal year. Actual appropriation figures are based on 653(a) levels and any notified and official reprogramming. These datasets are published as they become available.

Bilateral and regional funding allocations for the Foreign Military Financing (FMF) and International Military Education Training (IMET) programs are two exceptions to how the department's financial data is extracted and reported to FA.gov. Both FMF and IMET are implemented by the Department of Defense (DOD) at the Defense Security Cooperation Agency (DSCA).

### Data Reporting

Obligation- and disbursement-level data on ForeignAssistance.gov is drawn quarterly from Global BI and is identified by specific foreign assistance Treasury Account Codes. Any new or cancelled obligations, corrections or amendments to existing obligations, along with related payments are included in the foreign assistance data set from Global BI. Global BI datasets detail foreign assistance funded grants, contracts and interagency agreements in addition to travel, compensation, and other miscellaneous activities in support of foreign assistance programming.

Users may find that some reported transactions have a negative value. These are instances where funds may have been de-obligated or a recipient returned funds to the project. Negative values may occur at both the obligation and disbursement levels. In order to improve overall data quality, the Department:

- Excludes transactions that have a negative value if they are associated with the reversal of an obligation tied to a payment. In 2019, the Department

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<sup>1</sup> This is a reference to the Foreign Assistance Act of 1961 that requires a report on the nature and purpose of funding as well as a detailed breakdown of funding by country.

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systematically refreshed all FY 2015-2017 data by Bureau to exclude these reversals and to improve the accuracy of these datasets;

- Aggregates low value administrative costs instead of reporting them at the transaction level; and
- Includes only final payments associated with travel instead of travel advances, to avoid any potential errors associated with superseding travel advance transactions with final or removing travel advance transactions for travel that does not eventually occur.

The data also includes transaction figures that range from millions of dollars to one or two dollars. Since the data captures all transactions associated with foreign assistance activities, disbursements and obligations can span large grant programs or small travel expenses. Currently, the data includes domestic and overseas transactions.

### 3. Data Reporting Limitations & Ongoing Improvements

In 2015, the Office of Inspector General (OIG) conducted a compliance review of the Department of State's implementation of the recommendation in *Management Assistance Report: Department Financial Systems are Insufficient to Track and Report on Foreign Assistance Funds* ([ISP-I-15-14, February 2015](#)). OIG determined that the Department had not complied with the report's recommendation to implement a comprehensive plan with target completion dates to ensure it is able to accurately track and report foreign assistance funding.

Since then, the Department has organized a working group – comprised primarily of representatives from the Office of Foreign Assistance (F), Bureau of the Comptroller and Global Financial Services (CGFS), and the Bureau of Administration (A) – to review existing enterprise system constraints and begin making both system and process improvements to align internal procurement, assistance, and financial systems with data publication standards outlined by the International Aid Transparency Initiative (IATI), OMB Bulletin 12-01, and FATAA. This working group – the Department's Foreign Assistance Data Review (FADR) working group – has and continues to address data gaps and improve both the quality and scope of data reporting across the entire Department, particularly with respect to improving Award Location and Sector-level granularity.

Key data gaps for the Department by field include:

- **Recipient Location** – Data published prior to FY 2021 Q1 was entered in Department systems that did not capture award location at the fiscal line level (transaction level). For these transactions, award location is available at the header level (award level) for procurement and federal assistance transactions. If the Recipient Location is defined in the header of a foreign

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assistance transaction, that location is used for all fiscal lines on a transaction. If the Recipient Location is blank, the location is derived from the country associated with the allotment code on the account strip. Prior to 2020, Department systems only allowed single-country tagging, resulting in any non-bilateral awards to be tagged at Worldwide. In 2019, the FADR Team updated the Department's Master Reference Dataset (MRD) to include regional and sub-regional tagging of obligations to allow for more granular geographic tracking and reporting, as well as to diminish the over-reporting of regional data at Worldwide. System updates were launched in December 2020 and now capture the award location at the transaction level. Updates will be reflected in all data published from FY 2021 Q1 and on.

- Sector** – Similar to the restrictions on geographic tagging in Department systems, the Department's financial, federal assistance, and procurement systems do not capture sector information for data entered prior to FY 2021 Q1. To work around this limitation for financial transaction data, this field has been derived from the appropriations accounts when an account has a one-to-one relationship with a sector. However, not all accounts can be assigned to a singular foreign assistance sector. When an account cannot be assigned to a specific sector, it is assigned to the category called Multi-Sector - Unspecified. For example, the Global Health and Child Survival account aligns to the HIV/AIDS sector, but the Economic Support Fund supports multiple sectors and will, therefore, be assigned to Multi-Sector – Unspecified. System updates launched in December 2020 will now allow sector-specific tagging at the genesis of awards and obligations, thus resulting in improved data granularity for reporting purposes. For example, awards and programs that incorporate both counterterrorism and counter-narcotics will now be assigned to Peace and Security, instead of Multi-Sector. Updates will be reflected in all data published from FY 2021 Q1 and on.

### 4. Redactions

A core principle of the U.S. foreign assistance transparency policy is a "presumption in favor of openness." However, there is recognition that the routine and regular availability of detailed information may at times undermine other U.S. government priorities and interests. For this reason, the Department has redacted award titles and descriptions, as well as certain implementing organizations in the following two instances, as outlined in the [Foreign Aid Transparency and Accountability Act of 2016](#):

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1. **Health or security of implementing partners:** if the head of a Federal department or agency, in consultation with the Secretary of State, makes a determination that the inclusion of a required item of information online would jeopardize the health or security of an implementing partner or program beneficiary or would require the release of proprietary information of an implementing or program beneficiary, the head of the Federal department or agency shall provide such determination in writing to the appropriate congressional committees, including the basis for such determination.
2. **National interests of the United States:** if the Secretary of State makes a determination that the inclusion of a required item of information online would be detrimental to the national interests of the United States, the Secretary of State shall provide such determination, including the basis for such determination, in writing to the appropriate congressional committees.

*Where redactions are applied, a user will see "Information Redacted" in its place.*

*If Implementing Organization DUNS number is redacted or one does not exist, a user will see "999999999" in its place.*